Appendix #11

First Draft of the Legislative Proposal, Fiscal Impact Analysis and Written Comment Received by October 20, 2004

Board of Health Professions 2004 Session of the General Assembly

Draft Legislation

A BILL to amend and reenact §§ 54.1-2503, 54.1-3100, 54.1-3101, 54.1-3102, 54.1-3103 and 63.1-1803 of the Code of Virginia to require licensure for administrators of assisted living facilities and to expand and rename the Board of Nursing Home Administrators as the Board of Long Term Care Administrators.

Be in enacted by the General Assembly:

- 1. That $\S\S$ 54.1-2503, 54.1-3100, 54.1-3101, 54.1-3102, 54.1-3103 and 63.1-1803 of the *Code of Virginia* are amended and reenacted as follows:
- 1 § 54.1-2503. Boards within Department.
- 2 In addition to the Board of Health Professions, the following boards are included within
- 3 the Department: Board of Audiology and Speech-Language Pathology, Board of
- 4 Counseling, Board of Dentistry, Board of Funeral Directors and Embalmers, Board of
- 5 Long Term Care Administrators, Board of Medicine, Board of Nursing, Board of Nursing
- 6 Home Administrators, Board of Optometry, Board of Pharmacy, Board of Physical
- 7 Therapy, Board of Psychology, Board of Social Work and Board of Veterinary Medicine.
- 8 § 54.1-3100. Definitions.
- 9 As used in this chapter, unless the context requires a different meaning:
- 10 "Assisted living facility" means any public or private facility, as defined in § 63.2-100,
- that is required to be licensed as an assisted living facility by the Department of Social
- 12 Services and that provides assisted living care as defined in § 63.2-100.
- 13 "Assisted living facility administrator" means any individual charged with the general
- 14 administration of an assisted living facility, regardless of whether he has an ownership
- 15 interest in the facility.

- 16 "Board" means the Board of Nursing Home Long Term Care Administrators.
- 17 "Nursing home" means any public or private facility required to be licensed as a nursing
- home under the provisions of Chapter 5 (§ 32.1-123 et seq.) of Title 32.1 and the
- 19 regulations of the Board of Health.
- 20 "Nursing home administrator" means any individual charged with the general
- 21 administration of a nursing home regardless of whether he has an ownership interest in
- 22 the facility.
- 23 § 54.1-3101. Board of Nursing Home Long Term Care Administrators; terms; officers;
- 24 quorum; special meetings.
- 25 The Board of Nursing Home Long Term Care Administrators shall consist of seven nine
- 26 members, four who are licensed nursing home administrators, two who are assisted living
- 27 <u>facility administrators</u>, two who are from professions and institutions concerned with the
- 28 care and treatment of chronically ill and elderly or mentally impaired patients or
- 29 residents, and one who is a resident of a nursing home or assisted living facility or a
- 30 family member of a resident of a nursing home or assisted living facility. Two of the
- 31 licensed nursing home administrators shall be administrators of proprietary nursing
- 32 homes. The terms of Board members shall be four years.
- The Board shall annually elect a chairman. Four Five members of the Board, including
- one who is not a licensed nursing home administrator or assisted living facility
- 35 <u>administrator</u>, shall constitute a quorum. Special meetings of the Board shall be called by
- 36 the chairman upon the written request of any three members.
- 37 The Board shall be authorized to promulgate canons of ethics under which the
- professional activities of persons regulated shall be conducted.

- 39 § 54.1-3102. License required.
- 40 In order to engage in the general administration of a nursing home, it shall be necessary
- 41 to hold a nursing home administrator's license issued by the Board.
- 42 In order to engage in the general administration of an assisted living facility as defined in
- 43 § 54.1-3100, it shall be necessary to hold an assisted living facility administrator's license
- or a nursing home administrator's license issued by the Board.
- 45 § 54.1-3103. Administrator required for operation of nursing home or assisted living
- 46 facility; operation after death, illness, etc., of administrator; notification of Board.
- 47 All licensed nursing homes and licensed assisted living facilities within the
- 48 Commonwealth shall be under the supervision of an administrator licensed by the Board.
- 49 If a licensed nursing home administrator or licensed assisted living facility administrator
- dies, becomes ill, resigns or is discharged, the nursing home or assisted living facility
- which was administered by him at the time of his death, illness, resignation or discharge
- 52 may continue to operate until his successor qualifies, but in no case for longer than six
- 53 months is permitted by the licensing authority for the facility. The temporary supervisor
- or administrator shall immediately notify the Board of Nursing-Home Long Term Care
- Administrators and the Commissioner of Health that the nursing home is operating
- without the supervision of a licensed nursing home administrator or the Commissioner of
- 57 Social Services that the assisted living facility is operating without the supervision of a
- 58 <u>licensed assisted living facility administrator</u>.
- 59 § 63.2-1803. Staffing of assisted living facilities.
- 60 A. An administrator is any person meeting the qualifications for administrator of an
- 61 assisted living facility, pursuant to regulations adopted by the Board. An administrator of

62	an assisted living facility providing assisted living care shall be currently licensed as an
63	assisted living facility administrator by the Virginia Board of Long Term Care
64	Administrators. Any person meeting the qualifications for a licensed nursing home
65	administrator under § 54.1-3103 shall be deemed qualified to (i) serve as an administrator
66	of an assisted living facility or (ii) serve as the administrator of both an assisted living
67	facility and a licensed nursing home, provided the assisted living facility and licensed
68	nursing home are part of the same building.
69	B. The assisted living facility shall have adequate and sufficient staff to provide services
70	to attain and maintain (i) the physical, mental and psychosocial well-being of each
71	resident as determined by resident assessments and individual plans of care and (ii) the
72	physical safety of the residents on the premises. Upon admission and upon request, the
73	assisted living facility shall provide in writing a description of the types of staff working
74	in the facility and the services provided, including the hours such services are available.
75	2. That provisions of §§ 54.1-3102, 54.1-3103 and 63.2-1803, requiring licensure of
76	assisted living facility administrators, shall not become effective until July 1, 2007.
77	3. That the Board of Long Term Care Administrators shall submit the proposed
78	criteria for licensure of assisted living administrators to the chairmen of the House
79	Committee on Health, Welfare and Institutions and the Senate Committee on
80	Education and Health prior to January 1, 2005.

Virginia Dept. of Health Professions **Assisted Living** Projected Cost July 2006 through June 2007

Fundana	
Expenses 1100 · Personal Services	
1110 · Employee Benefits	
1111 · Employer Retirement Contrib.	2,495
1112 · Fed Old-Age Ins- Sal St Emp	2,142
1113 · Fed Old-Age Ins- Wage Earners	·
1115 · Medical/Hospitalization Ins.	9,480
1116 · Retiree Medical/Hospitalizatn	291
	462
1117 · Long term Disability Ins	14,870
Total 1110 · Employee Benefits	,
1120 · Salaries	28,000
1123 · Salaries, Classified	
1125 · Salaries, Overtime	28,000
Total 1120 · Salaries	20,000
1130 · Special Payments	1,000
1134 · Specified Per Diem Payment	480
1138 · Deferred Composto Match Pmts	
Total 1130 · Special Payments	1,480
1140 · Wages	
1141 · Wages, General	-
Total 1140 · Wages	<u>-</u>
Total 1100 · Personal Services	44,350
1200 · Contractual Services	
1209 · Charge Card Purchases	
1210 · Communication Services	
1214 · Postal Services	300
1215 · Printing Services	300
1216 · Telecommunications Svcs (DIT)	200_
Total 1210 · Communication Services	800
1220 · Employee Development Services	
1221 · Organization Memberships	1,000
1222 · Publication Subscriptions	
1224 · Emp Trning Courses, Wkshp & Cnf	250
Total 1220 · Employee Development Services	1,250
1240 · Mgmnt and Informational Svcs	
1247 · Legal Services	1,000
1248 · Media Services	400
Total 1240 · Mgmnt and Informational Svcs	1,400
1250 · Repair and Maintenance Svcs	
1253 · Equip Repair & Maintenance	
Total 1250 · Repair and Maintenance Svcs	-
1260 · Support Services	
1267 · Production Services	350
1268 · Skilled Services	
• • • • • • • • • • • • • • • • • • • •	350
Total 1260 · Support Services	
1280 · Transportation Services	1,060
1282 · Travel, Personal Vehicle	100
1283 · Travel, Public Carriers	, , ,
1284 · Travel, State Vehicles	775
1285 · Travel, Subsistence & Lodging	350
1288 · Trvl, Meal Reimb- Not Rprtble	2,285
Total 1280 · Transportation Services	6,085
Total 1200 - Contractual Services	0,000

Virginia Dept. of Health Professions **Assisted Living**

Projected Cost July 2006 through June 2007

1310 - Administrative Supplies	July 2006 throug	gn June 2007
1312 - Office Supplies 150 150 1510 1313 - Stationery and Forms 150 150 150 1313 - Stationery and Forms 150 150 1500 - Continuous Charges 1510 - Insurance-Fixed Assets 1510 - Insurance-Fixed Assets 1510 - Property Insurance 1520 - Capital Lease Payments 1525 - Building Capital Leases 1,500 1530 - Operating Lease Payments 1,500 1550 - Insurance-Operations 1551 - General Liability Insurance 50 1554 - Surety Bonds 10 1555 - Workers Compensation 200 1555 - Workers Compensation 200 1555 - Workers Compensation 200 1555 - Operating Lease Payments 1,760 1500 - Continuous Charges 1,760	1300 · Supplies And Materials	
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4 nm		1,000
4 nor	·	1,000
and Attention deficient	•	1,000
5303 - Board of Fleath Troissand		1,000
9311 · Moving Costs	-	105,634
Total 5001 Anddated Expenditures	•	
106 93		1,200
162 07		106,834 163,879
Total Direct and Allocated Expenses	Total Direct and Allocated Expenses =	103,079

Written Comment Received on the Regulatory Research Committee Legislative Proposal to License Administrators of Assisted Living Facilities

October 20, 2004

From: Carter Harrison [carter.harrison@alz.org]

Sent: Wednesday, October 20, 2004 10:52 AM

To: Carter, Elizabeth A.

Subject: Alzheimer's Association's comments on Licensure for AL Administrators

Dr. Carter,

Attached are the comments from the Alzheimer's Association.

<< Assisted Living Administrator Licensure Comments04.pdf >>

Carter Harrison
Public Policy Coordinator
Alzheimer's Association
4600 Cox Rd., STE 130
Glen Allen, VA 23060
(804)967-2594 voice
(804)967-2588 fax



Virginia Chapters

Central and Western Virginia 1807 Seminole Trail Suite 204 Charlottesville, VA 22901 434-973-6122

Greater Richmond 4600 Cox Road Suite 130 Glen Allen, VA 23060 804-967-2580

National Capital Area 11240 Waples Mill Road Suite 402 Fairfax, VA 22030 703-359-4440

Southeastern Virginia #20 Interstate Corporate Center Suite 233 Norfolk, VA 23502 757-459-2405 October 20, 2004

Virginia Board of Health Professions 6603 West Broad Street, Fifth Floor Richmond, VA 23230-1712

RE: ASSISTED LIVING ADMINISTRATOR LICENSURE LEGISLATION COMMENTS

Dear Chairperson,

The Alzheimer's Association agrees with the recommendation to create the Board of Long Term Care Administrators. We support most aspects of the legislation, but since there are approximately as many nursing home beds as assisted living beds in Virginia, it is important for the board to reflect this parity. Therefore, the Alzheimer's Association recommends the following changes to the board composition:

- 2 nursing home (NH) administrators
- 2 assisted living (AL) administrators
- 1 NH resident/family member
- 1 AL resident/family member
- 2 professionals knowledgeable about care and treatment of chronically ill, geriatric and mentally impaired individuals
- 1 professional knowledgeable about the care and treatment of individuals with dementia [This recommendation reflects the high prevalence of dementia among both nursing home and assisted living residents.]

*If the board size can be increased beyond 9, then the recommendation is to add one additional administrator each for assisted living and nursing home.

The association commends the board for proposing this legislation and we look forward to working with you.

Sincerely,

Carter R. Harrison



October 20,2004

Transmitted Via Email and Fax

Virginia Board of Health Professions 6603 West Broad Street. Fifth Floor Richmond, Virginia 23230-1712

Dear Dr. Carter.

On behalf of Sunrise Senior Living I would like to thank you for the opportunity to comment on the draft legislative proposal for the licensure of admistrators/ executive directors of assisted living communities. I believe we share the common goals to provide quality care and quality of life to assisted living residents, however we may not agree on the best means to accomplish these goals.

There are many factors that contribute to quality in assisted living, and certainly the qualifications of the executive director are extremely important. There are many skills and characteristics that make someone qualified to be an assisted living executive director. As the leader of the community, the executive director needs supervisory skills, business experience, expertise in the field of aging, knowledge of assisted living regulations and laws, belief in the mission and goals of assisted living and most important a passion to serve others and a belief in the sacred value of human life.

The important criteria listed above are not easily measurable on an exam. To assume someone who passes a competency exam and receives a "license" is going to be a qualified assisted living executive director is a flawed assumption. I assume this is why there are very few health care professions that require licensure. You do not have to be licensed to be a CEO of a hospital, home care agency, hospice or a major assisted living company.

The assumption in the draft proposal that a licensed nursing home administrator is qualified to be an executive director of an assisted living community is another flawed assumption. As I am sure the Virginia Board of Health Professions is aware, completely different laws and regulations govern nursing homes and assisted living. In addition the philosophy and principles of service are very different. Therefore holding a license as a nursing home administrator alone would not render someone qualified to be an executive director of an assisted living community.

International Headquarters

7902 Westpark Drive McLean, VA 22102 phone (703) 273-7500 fax (703) 744-1601 www.sunriseseniorliving.com

Existing assisted living regulations already address executive director qualifications. If the concern is that current executive directors need more training or experience, I suggest this section of the current regulations be amended to require additional hours of training or experience.

However if the concern is that the quality of care provided in assisted living communities needs to be improved, there are other ways to address this issue. Assisted Living is regulated in Virginia and operators are licensed and ultimately responsible for the care provided in the community. Enforcement of current regulations must be a priority and the operators must be held accountable to ensure the well being of assisted living residents.

Thank you again for the opportunity to provide comments. I look forward to working with you and the Board on this very important issue.

Sincerely.

Maribeth Bersani

National Director of Government Affairs

Sunrise Senior Living

From:

Margaret Schultze [margaret.schultze@dss.virginia.gov]

Sent:

Tuesday, October 19, 2004 7:33 PM

To:

Carter, Elizabeth A.

Cc:

'Jane Woods'; Maurice Jones

Subject: VDSS Comments on Proposed Licensure of ALF Administrators

Dr. Carter:

Commissioner Maurice Jones asked that I forward to you the Virginia Department of Social Services' comments on the proposed legislation to license ALF Administrators. A hard copy is being placed in the mail. If you have trouble receiving/opening the document, please contact me.

Margaret

Margaret Schultze
Office of the Commissioner
Virginia Department of Social Services
7 North Eighth Street, 6th Floor
Richmond, Virginia 23219
p. 804 726 7012

p: 804.726.7012 f: 804.726.7015

margaret.schultze@dss.virginia.gov



COMMONWEALTH of VIRGINIA

DEPARTMENT OF SOCIAL SERVICES

October 19, 2004

Elizabeth A. Carter, Ph.D. Executive Director Virginia Board of Health Professions 6603 West Broad Street, Fifth Floor Richmond, Virginia 23230-1712

Dear Dr. Carter:

Thank you for allowing me to offer my thoughts and suggestions about the licensure of Assisted Living Facility (ALF) Administrators. I want to thank the Research Committee and staffs of the Board of Health Professions for their attention to this opportunity. I believe ALF administrator licensing is an avenue that holds great promise for helping to improve the safety and well-being of the consuming public by ensuring that facility administrators are well-prepared and personally accountable for facility performance.

My concerns and recommendations are as follows:

- I would strongly urge the Board *not* to establish the nursing home administrator license as an acceptable substitute for an ALF administrator license. While there is a large core of common knowledge required to administer either setting, I believe it is a serious mistake to discount the very real differences between the two types of facilities. ALFs differ from nursing homes in some significant respects, including:
 - o Wider range, both in type and severity, of behavioral health issues among residents
 - o Greater diversity, mobility and activity level of most residents
 - O Complexities of meeting these residents' needs in communities that are often less accepting than they would be of nursing home residents
 - O Difficulties of arranging for resident services from a much wider array of agencies and organizations
 - O Generally quite different funding streams and resources than apply to nursing home residents
 - O Wider range of physical plants adapted or built for assisted living facilities
 Accordingly, I believe that a licensed nursing home administrator, who would already be
 competent in facility management and health care oversight, should be additionally "certified"
 to administer an ALF facility only after demonstrating that ALF-unique knowledge and skills
 have been acquired, including, for example:
 - o Social-model of care programming
 - o Community relations and resident advocacy

Elizabeth A. Carter, Ph.D. October 19, 2004 Page Two

- Needs of special populations in a social model setting, e.g., residents with mental illness, developmental disabilities, substance abuse, criminal backgrounds, and cognitive impairments related to progressive dementia and traumatic brain injuries including methods of supporting/promoting habilitation and rehabilitation
- o ALF regulations
- I would hope that the Board's proposal for the ALF-specific administrator license would include these same competency areas, plus the components that relate to facility and program management and to health care oversight/coordination. Conversely, ALF administrators would not need such extensive knowledge of federal regulations and payment systems, but would need to know about funding streams and resources that apply to ALFs.
- I also urge the Board not to exclude from licensure the administrators of ALFs licensed only for residential living. These consumers have the same scope of needs if not the same severity, and, like all ALF residents, are aging in place. These facilities tend to concentrate on consumers in the special populations whose disabilities include mental illness, mental retardation and progressive dementia, some with assorted health issues requiring oversight and medication administration. These facilities also tend to have fewer staffs with specialized training, meaning that the administrator is the primary resource to train and oversee direct-care staffs in ongoing, practical assessment of changes in residents. These consumers are especially vulnerable if the administrator does not have sufficient training to recognize change or deterioration and seek timely assistance. Only 59 facilities limit their clientele to residential living, meaning that adding this level of facility to the requirement for administrator licensure would not constitute an undue burden to the Board of Health Professions but would offer significant protection to this group of residents.

I have taken the liberty of including our suggested edits to the draft legislation you enclosed that would be necessary to effect these recommendations. Staff and I would be pleased to work with the Board of Health Professions to refine these concepts.

Again, thank you for your interest and support in this important step forward.

Sincerely,

Maurice A. Jones

MAJ/chs

Enclosure

cc: The Honorable Jane H. Woods, Secretary of Health and Human Resources

Board of Health Professions 2004 Session of the General Assembly

Draft Legislation

A BILL to amend and reenact §§ 54.1-2503, 54.1-3100, 54.1-3101, 54.1-3102, 54.1-3103 and 63.1-1803 of the Code of Virginia to require licensure for administrators of assisted living facilities and to expand and rename the Board of Nursing Home Administrators as the Board of Long Term Care Administrators.

Be in enacted by the General Assembly:

- 1. That §§ 54.1-2503, 54.1-3100, 54.1-3101, 54.1-3102, 54.1-3103 and 63.1-1803 of the *Code of Virginia* are amended and reenacted as follows:
- 1 § 54.1-2503. Boards within Department.
- 2 In addition to the Board of Health Professions, the following boards are included within
- 3 the Department: Board of Audiology and Speech-Language Pathology, Board of
- 4 Counseling, Board of Dentistry, Board of Funeral Directors and Embalmers, Board of
- 5 Long Term Care Administrators, Board of Medicine, Board of Nursing, Board of Nursing
- 6 Home Administrators, Board of Optometry, Board of Pharmacy, Board of Physical
- 7 Therapy, Board of Psychology, Board of Social Work and Board of Veterinary Medicine.
- 8 § 54.1-3100. Definitions.
- 9 As used in this chapter, unless the context requires a different meaning:
- 10 "Assisted living facility" means any public or private facility, as defined in § 63.2-100,
- that is required to be licensed as an assisted living facility by the Department of Social
- 12 Services and that provides assisted living care as defined in \$ 63.2 100. COMMENCE
- 13 WHATE BUILD REPORTED IN DOMESTICATION REPORT OF THE REST
- 14 COMPANIAL SERVICE DATABASE AND ASSOCIATE ASSOCIATED SERVICES.

16	SERIOUS MISTAKO TAKO DIMURI MERASING PORTUNGSA SURGIORN PROPERTY.
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19	SIKE PROMITE NOTES, STREET BOOK AND DE DE VANDE
20	"Assisted living facility administrator" means any individual charged with the general
21	administration of an assisted living facility, regardless of whether he has an ownership
22	interest in the facility.
23	"Board" means the Board of Nursing Home Long Term Care Administrators.
24	"Nursing home" means any public or private facility required to be licensed as a nursing
25	home under the provisions of Chapter 5 (§ 32.1-123 et seq.) of Title 32.1 and the
26	regulations of the Board of Health.
27	"Nursing home administrator" means any individual charged with the general
28	administration of a nursing home regardless of whether he has an ownership interest in
29	the facility.
30	§ 54.1-3101. Board of Nursing-Home Long Term Care Administrators; terms; officers;
31	quorum; special meetings.
32	The Board of Nursing Home Long Term Care Administrators shall consist of seven nine
33	members, four who are licensed nursing home administrators, two who are assisted living
34	facility administrators, two who are from professions and institutions concerned with the
35	care and treatment of chronically ill and elderly or mentally impaired patients or
36	residents, and one who is a resident of a nursing home or assisted living facility or a
37	family member of a resident of a nursing home or assisted living facility. Two of the

- 38 licensed nursing home administrators shall be administrators of proprietary nursing
- 39 homes. The terms of Board members shall be four years.
- The Board shall annually elect a chairman. Four Five members of the Board, including
- one who is not a licensed nursing home administrator or assisted living facility
- 42 <u>administrator</u>, shall constitute a quorum. Special meetings of the Board shall be called by
- 43 the chairman upon the written request of any three members.
- The Board shall be authorized to promulgate canons of ethics under which the
- 45 professional activities of persons regulated shall be conducted.
- 46 § 54.1-3102. License required.
- 47 In order to engage in the general administration of a nursing home, it shall be necessary
- 48 to hold a nursing home administrator's license issued by the Board.
- 49 In order to engage in the general administration of an assisted living facility as defined in
- 50 § 54.1-3100, it shall be necessary to hold an assisted living facility administrator's license
- 51 or a nursing home administrator's license issued by the Board.
- 52 § 54.1-3103. Administrator required for operation of nursing home or assisted living
- 53 <u>facility</u>; operation after death, illness, etc., of administrator; notification of Board.
- All licensed nursing homes and <u>licensed assisted living facilities</u> within the
- 55 Commonwealth shall be under the supervision of an administrator licensed by the Board.
- 56 If a licensed nursing home administrator or licensed assisted living facility administrator
- 57 dies, becomes ill, resigns or is discharged, the nursing home or assisted living facility
- which was administered by him at the time of his death, illness, resignation or discharge
- 59 may continue to operate until his successor qualifies, but in no case for longer than six
- 60 months is permitted by the licensing authority for the facility. The temporary supervisor

61	or administrator shall immediately notify the Board of Nursing Home Long Term Care
62	Administrators and the Commissioner of Health that the nursing home is operating
63	without the supervision of a licensed nursing home administrator or the Commissioner of
64	Social Services that the assisted living facility is operating without the supervision of a
65	licensed assisted living facility administrator.
66	§ 63.2-1803. Staffing of assisted living facilities.
67	A. An administrator is any person meeting the qualifications for administrator of an
68	assisted living facility, pursuant to regulations adopted by the Board. An administrator of
69	an assisted living facility providing assisted living care shall be currently licensed as an
70	assisted living facility administrator by the Virginia Board of Long Term Care
71	Administrators. Any person meeting the qualifications for a licensed nursing home
72	administrator under § 54.1-3103 shall be deemed qualified after meeting additional
73	certification requirements set by the Virginia Board of Long Term Care Administrators,
74	to (i) serve as an administrator of an assisted living facility or (ii) serve as the
75	administrator of both an assisted living facility and a licensed nursing home, provided the
76	assisted living facility and licensed nursing home are part of the same building.
77	B. The assisted living facility shall have adequate and sufficient staff to provide services
78	to attain and maintain (i) the physical, mental and psychosocial well-being of each
79	resident as determined by resident assessments and individual plans of care and (ii) the
80	physical safety of the residents on the premises. Upon admission and upon request, the
81	assisted living facility shall provide in writing a description of the types of staff working
82	in the facility and the services provided, including the hours such services are available

- 83 2. That provisions of §§ 54.1-3102, 54.1-3103 and 63.2-1803, requiring licensure of
- assisted living facility administrators, shall not become effective until July 1, 2007.
- 85 3. That the Board of Long Term Care Administrators shall submit the proposed
- 86 criteria for licensure of assisted living administrators to the chairmen of the House
- 87 Committee on Health, Welfare and Institutions and the Senate Committee on
- 88 Education and Health prior to January 1, 2005.



Mayfair House for Assisted Living and Eden Pines for Memory Care

October 19, 2004

Elizabeth A. Carter, Ph.D. Executive Director Virginia Board of Health Professions 6603 W. Broad Street Richmond, VA 23230

Transmitted via fax 804-662-7098

Dear Ms. Carter:

Thank you for the opportunity to comment on the legislative proposal for the licensure of administrators of assisted living facilities.

Upon review of the draft legislation document, I hereby present the following comments:

 The composition of the Board of Long Term Care Administrators should be comprised equally of both assisted living facility and nursing home administrators. There are approximately 650 assisted living facilities and 250 nursing homes in Virginia. Consideration should be given to having a state ombudsman serve on the board, as well.

I look forward to receiving additional information from you in regards to the proposed criteria for licensure.

Sincerely,

Irvin Land, Jr.

Chief Operating Officer

From: Karen Love [ccal@starpower.net]

Sent: Wednesday, October 20, 2004 11:44 AM

To: Carter, Elizabeth A.

Subject: Comments - Legislative Proposal for Licensure of Assisted Living Administrators

Dear Dr. Carter:

Thank you for the opportunity to comment on the proposed legislative language for licensure of assisted living administrators in Virginia. I am the founder and chair of the Consumer Consortium on Assisted Living (CCAL), a national advocacy organization for consumers of assisted living founded in 1995. At the behest of the U.S. Senate Special Committee on Aging I co-lead a national initiative comprised of 50 national aging and healthcare organizations to develop model standards for assisted living. This 18-month effort culminated in 2003 with 110 consensus recommendations covering all strategic aspects of assisted living.

I applaud the Virginia Board of Health Professions' proposal in all areas except for the composition of the Board of Long Term Care Administrators. The current proposal calls for 4 licensed nursing home administrators, 2 assisted living administrators and 1 resident/family member from either a nursing home or assisted living facility. This proposed composition appears to (1) favor nursing home administrators since there are twice as many; and (2) assume there is not much difference between nursing homes and assisted living since the resident/family member could come from either entity. One significant lesson learned from the Senate Aging Committee's assisted living initiative was the need to recognize assisted living as an unique industry separate and distinct from the nursing home industry.

The Board of Health Professions will already have an uphill battle among assisted living providers to initiate this new administrator requirement. The current proposed board composition inadvertently shows a lack of sensitivity and understanding of the need to have parity of board participation. It would not be effective to have either industry more heavily represented. Both professionals and consumers need equal representation – same number from assisted living and from nursing homes.

CCAL wholly supports the need for professional qualifications of assisted living administrators and believe your concept in on the right track. **How** it is executed, however, is as important as **what** is executed. CCAL has extensive experience achieving successful collaborative outcomes among diverse stakeholders. We would be happy to share suggestions from our lessons learned. Again, we applaud the Board's action to improve and enhance the quality of performance among assisted living administrators. Sincerely, Karen Love

Karen Love Founder and Chair Consumer Consortium on Assisted Living 2342 Oak Street Falls Church, VA 22046 (703) 533-3225 www.ccal.org

From:

millscatch5@earthlink.net

Sent:

Wednesday, October 20, 2004 11:55 AM

To:

Carter, Elizabeth A.

Subject: Public Comment- noon deadline

Dear Dr. Carter,

In response to the request for public comment by you and the Regulatory Research Committee of the Virginia Board of Health Professions regarding legislation requiring the potential licensure of assisted living administrators/directors, I would like to express my concern that any legislation provide an exception in the requirement for training for such an assisted living administrator/director that would exempt that person from any medical and diagnostic training that would violate his or her religious theology or tenets if those beliefs are that of any recognized church or denomination. In short, I would suggest inclusion of language in the proposed legislation for training of assisted living administrators/directors that is similar to the language and overall purpose of Section 32.1-128 of the Code of Virginia.

Thank you for your consideration.

Respectfully submitted, Allison Mills

From: Sent:

Lynn & Andy Carle [carlefam@cox.net] Monday, October 11, 2004 11:35 AM

To: Subject: Carter, Elizabeth A. Compromise

Liz:

As we discussed, I am genuinely concerned about the effect licensing of administrators will have on both the immediate and long term need for qualified professionals in the industry. I truly believe this requirement will drive people away from the field (as it has for nursing homes), increase costs to Residents (who will have to pay for both the licensing requirement and liability insurance through their rents), and over time reduce the actual quality of administrators (again, as has happened in nursing homes via "minimum competency" testing). I also feel that it may reduce the presence of quality providers today and as needed in the future, who will be reluctant to build in a state where it will be hard to find nationally - there are already not enough nursing home administrators to meet need - so this is a very, very critical issue that can effect a lot of lives.

I also realize the feeling in Richmond regarding the need to show that changes have been made. While I believe The Washington Post series was not an accurate description of the industry - the reality is we should do better if we can, and I believe we can.

Is there time to suggest a compromise solution? Here's what I'm thinking:

- Increase the qualifications for assisted living administrators to a Bachelor's degree in either a health care or business management discipline and a minimum of two years healthcare related experience, one year of which must be in a supervisory position. The healthcare supervisory position must specifically include direct supervision of staff and management of a budget.
- Alternatively, individuals who do not meet this requirement can meet the current requirement (two years of college, etc.) but must pass a licensing exam.

Why is this good idea? Because the vast majority of administrators in assisted living already have a Bachelor's degree and this level of experience. They are not the problem and should not be penalized for weaknesses in the system below this. This would allow the state to continue to train, recruit, and retain this level of needed professional in the years ahead.

At the same time, individuals who do not have this level of education and experience may still enter the field - but only after they have taken the additional measure of taking and passing an exam to show some level of proficiency.

In either case the State will be showing that they have "upped the ante" on the level of qualifications and training expected of administrators. I don't think most consumers will have an issue with the idea that the administrator should have a four year degree and experience in the field, or that individuals below this should have to take a test or meet additional standards.

What this will do is drive the industry forward, not backward, by elevating the qualifications of administrators to where it should be heading. Enrollment in our undergraduate program in health care administration has more than doubled in the past three years. We will desperately need many of

these individuals in assisted living - but they will go to hospitals, outpatient centers, and other administrative roles not requiring a license if available to them. If there is time, I would urge DHP to consider the choice to keep Bachelors or even Masters prepared professionals in the field.

I would think that these standards would apply to all individual's at the "assisted living" level of care in the state. You may also want to look at increasing the "residential level" standards - but for now the focus is on assisted living due to its higher level of care.

Such a proposal could serve as a national model for moving the industry and quality of care forward. I urge DHP and the Assisted Living Task Force to pursue this reasonable and substantive improvement.

Thank you for your consideration of this compromise.

Andrew Carle, Director Program in Assisted Living Administration George Mason University

(703) 993-1902 acarle@gmu.edu

From: ANDREW CARLE [ACARLE@gmu.edu]

Sent: Wednesday, October 20, 2004 1:27 PM

To: Carter, Elizabeth A.

Subject: Re: Draft BHPLegislative Proposal

Dear Dr. Carter:

I have been able to review the draft legislative proposal from DHP on licensing assisted living administrators in Virginia. While I have previously articulated the numerous issues in licensing non-clinical/administrative personnel in the healthcare industry - I believe this proposal to be even more inappropriate to the long term interests of seniors and the State.

First, it establishes a Long Term Board whose nursing home membership outnumbers assisted living membership by a minimum of 2-to-1. There are nearly 640 licensed assisted living communities in Virginia, and less than 280 nursing homes. There are nearly 4,000 more assisted living beds in Virginia than nursing home beds. Such a membership ratio does not represent the interests of elderly consumers in Virginia, who have chosen assisted living in exact opposite proportions over nursing homes.

Second, it provides automatic exemption of licensed nursing home administrators into assisted living communities. There is no correlation between individuals trained and tested in federally regulated, medicare/medicaid environments, averaging twice the acuity of assisted living - and success in assisted living communities. A similar misperception has resulted in a severe shortage of assisted living administrator personnel in Indiana, where individuals have been required to complete a 1,000 hour training in nursing homes in order to become assisted living administrators. Indiana is currently working to change this requirement in order to meet current and future needs for assisted living administrators. The Virginia proposal would essentially direct individuals TOWARDS this inappropriate training and licensing by offering dual eligibility to work in either type of facility. This is not an improvement in a state which currently offers the nation's ONLY university program in assisted living administration through George Mason University.

Thank you for your consideration of these comments,

Andrew J. Carle, Assistant Professor Director - Program in Assisted Living Administration George Mason University

(O) 703-993-1902 (F) 703-993-4009

email: acarle@gmu.edu

web: www.assistedliving.gmu.edu

From:

Jay W. DeBoer [Jay.DeBoer@vda.virginia.gov]

Sent:

Thursday, October 14, 2004 12:02 PM

To:

Carter, Elizabeth A.

Cc:

Nebiker, Robert A.; Jane Woods

Subject: Proposed Legislation for Long-Term Care Facility Administrators

Dear Dr. Carter:

Thank you for providing me with a copy of the proposed legislation to create the Board of Long Term Care Administrators, and for inviting comment on the same.

I find that the proposed legislation fulfills the objectives that have been stated by a host of agencies and parties interested in accomplishing the licensure of Assisted Living Facility Administrators, as well as the creation of an entity to administer this licensure scheme.

I have one suggestion for an addition: on page 2, line 30, after "family member" I would suggest that you might wish to add "or guardian". This recognizes that many in these facilities have no family members, and expands the universe of candidates who might serve on the Board.

On behalf of the Virginia Department for the Aging, I communicate to you our support for this legislation, and our thanks to the Board of Health Professions, to you, and to your staff for the promptness and efficiency in crafting it.

With best regards, I am

Very truly yours,

Jay W. De Boer

Jay W. DeBoer, JD, Commissioner
Virginia Department for the Aging
1610 Forest Drive, Suite 100
Richmond, VA 23229
(804) 662-9333
jay.deboer@vda.virginia.gov
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